



PATENT
Atty. Docket No: MIT-058
(5473/60)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

MAR 21 2001

OFFICE OF PETITIONS

APPLICANTS: Madnick et al.

PATENT NUMBER: 5,953,716

ISSUE DATE: September 14, 1999

TITLE: Querying Heterogeneous Data Sources Distributed Over a Network
Using Context Interchange

REQUEST FOR CERTIFICATE OF CORRECTION UNDER 35 C.F.R. §1.323

Assistant Commissioner for Patents
Box DAC
Washington, D.C. 20231

Sir:

At the time of issuance, United States Patent No. 5,953,716 was assigned to Massachusetts Institute of Technology, by virtue of an assignment (Exhibit A) recorded August 1, 1996 at Reel 8061, Frame 0956. However, Applicant inadvertently failed to provide the assignee name and address at Item 3 of the Issue Fee Transmittal (PTOL-85b form) (Exhibit B). The Assignee hereby requests that a Certificate of Correction be issued for United States Patent No. 5,953,716 properly identifying MIT as the Assignee of the 5,953,716 patent.

A Certificate of Correction (PTO/SB/44 form), is attached in duplicate that indicates the correction for this typographical error. Specifically, box [73], which should contain assignee name and address information on the cover sheet of the patent, is currently not displayed. To correct this error, box [73] should be displayed with the correct assignee information. As indicated, the Assignee and address on the title cover of United States Patent No. 5,953,716 should read as follows:

--Massachusetts Institute of Technology, Cambridge, MA--

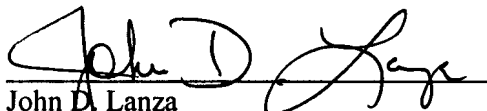
The complete assignee address is 77 Massachusetts Avenue, Cambridge, MA 02139.

In view of the above, Assignee respectfully submits that the assignee name error on the cover page of United States Patent No. 5,953,716 was inadvertent and that this clerical error occurred in good faith and is correctable pursuant to 37 C.F.R. §1.323. No new matter is added. Accordingly, Assignee urges the Commissioner to issue a Certificate of Correction for United States Patent No. 5,953,716 reflecting the corrections as they appear on the enclosed PTO/SB/44 form.

Enclosed is the fee due under 37 C.F.R. §1.20(a) for a Certificate of Correction of Assignee's mistake. Assignee believes that no additional fees are due. Should Assignee be in error, please charge additional fees to deposit account number 20-0531

If there are any questions regarding this matter, the Patent Office is kindly requested to contact the undersigned at the telephone number identified below.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "John D. Lanza", written over a horizontal line.

Date: March 14, 2001
Reg. No.: 40,060
Tel. No.: (617) 248-7604
Fax No.: (617) 760-0191

John D. Lanza
Attorney for the Applicant
Testa, Hurwitz, & Thibault, LLP
High Street Tower
125 High Street
Boston, Massachusetts 02110

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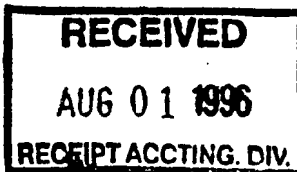


The "RECEIVED" stamp of the Patent Office imprinted hereon acknowledges the filing of:

MIT-058
(5473/60)

Executed Assignment and Assignment Transmittal

Name of Applicant: Madnick and Siegel



Intf. or Serial Number 08/657,750

Atty: John D. Lanza

Date: July 30, 1996

JD/L/cmm

TESTA, HURWITZ & THIBEAULT, LLP HIGH STREET TOWER 125 HIGH STREET BOSTON, MA 02110

CHECK NO. 117483

| DATE | INVOICE NO. | DESCRIPTION | INVOICE AMOUNT | DEDUCTION | BALANCE |
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| 7/30/96 | 117483 | 40.00 | 0.00 | 40.00 | |



To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

ATTORNEY DOCKET NO. MIT-058

1. Name of conveying party(ies):

Stuart E. Madnick and Michael D. Siegel

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: July 25, 1996

2. Name and address of receiving party(ies):

Name: Massachusetts Institute of Technology

Street Address: 77 Massachusetts Avenue

City: Cambridge State: MA

Zip: 02139

Additional name(s) and address(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No. (s)

08/657,750

B. Patent No.(s)

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MAR 21 2001

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Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Patent Administrator
Testa, Hurwitz & Thibault, LLP
Street Address: High Street Tower
125 High Street
City: Boston State: MA Zip: 02110

6. Total number of application and patents involved:

1

7. Total fee (37 CFR 3.41) \$ 40.00

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit Account Number: 20-0531

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement of signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

John D. Lanza

Name of Person Signing

Signature

Date

July 30, 1996

Total number of pages comprising cover sheet, attachments and document: 1

ASSIGNMENT

WHEREAS, We, Stuart E. Madnick and Michael D. Siegel have invented one or more improvements in:

QUERYING HETEROGENEOUS DATA SOURCES DISTRIBUTED OVER A NETWORK USING
CONTEXT INTERCHANGE

described in an application (or provisional application) for Letters Patent of the United States:

☐ identified by Attorney Docket No. MIT-058 (5473/60), and/or executed by us of even date herewith and about to be filed in the United States Patent Office;

☒ Serial No. 08/657,750 filed in the United States Patent Office on May 30, 1996; and

WHEREAS, Massachusetts Institute of Technology (hereinafter "ASSIGNEE"), a corporation organized and existing under the laws of the Commonwealth of Massachusetts, and having a usual place of business at 77 Massachusetts Avenue, Cambridge, Massachusetts, 02139 desires to acquire an interest therein, in accordance with agreements duly entered into with us;

NOW, THEREFORE, to all whom it may concern be it known that for and in consideration of said agreements and of other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned and transferred and by these presents do hereby sell, assign and transfer unto said ASSIGNEE, its successors, assigns, and legal representatives, our entire right, title and interest in and throughout the United States of America, its territories and all foreign countries, in and to the inventions described in said application, together with our entire right, title and interest in and to said application and such Letters Patent as may issue thereon or claim priority under international convention; said inventions, applications and Letters Patent to be held and enjoyed by said ASSIGNEE for its own use and behalf and for its successors, assigns and legal representatives, to the full end of the term for which said Letters Patent may be granted as fully and entirely as the same would have been held by us had this assignment and sale not been made; we hereby convey all of our rights arising under or pursuant to any and all international agreements, treaties or laws relating to the protection of industrial property by filing any such applications for Letters Patent. We hereby acknowledge that this assignment, being of our entire right, title and interest in and to said inventions, carries with it the right in ASSIGNEE to apply for and obtain from competent authorities in all countries of the world any and all Letters Patent by attorneys and agents of ASSIGNEE's selection and the right to procure the grant of all Letters Patent to ASSIGNEE for its own name as assignee of our entire right, title and interest therein.

AND, we hereby further agree for ourselves and our executors and administrators to execute upon request any other lawful documents and likewise to perform any other lawful acts which may be deemed necessary to secure fully the aforesaid invention to said ASSIGNEE, its successors, assigns, and legal representatives, but at its or their expense and charges, including: the execution of applications for patents in foreign countries; the execution of substitution, reissue, divisional or continuation applications; and preliminary or other statements or the giving of testimony in any interference or other proceeding in which said inventions or any application or patent directed thereto may be involved; and

authorize ASSIGNEE or its attorneys or agents to insert the correct serial number and filing date into this assignment, if none is indicated on that date of our execution of this assignment;

AND, we do hereby authorize and request the Commissioner of Patents of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said ASSIGNEE, its successors, assigns, and legal representatives.

IN TESTIMONY WHEREOF, we have hereunto set our hands and affixed our seals the date set forth below.

Inventor: Stuart E. Madnick
Stuart E. Madnick

Commonwealth of Massachusetts)
County of Middlesex) ss

Subscribed and sworn to before me, by the above-named Stuart E. Madnick this 25th day of July, 1996.

Kathy Whitehead
Notary Public
My Commission Expires: January 24, 2003

Inventor: Michael D. Siegel
Michael D. Siegel

Commonwealth of Massachusetts)
County of Middlesex) ss

Subscribed and sworn to before me, by the above-named Michael D. Siegel this 25th day of July, 1996.

Kathy Whitehead
Notary Public
My Commission Expires: January 24, 2003

UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION

PATENT NO. : 5,953,716
DATED : September 14, 1999
INVENTOR(S) : Madnick et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

*The page should include -- [73] Assignee:
After item [76] item*

The box [73] (not currently displayed on the face of the patent) for listing the Assignee name and address should be displayed on the face of the patent located just below the textual information associated with box [76] (currently displayed on the face of the patent) and should contain the text

--"Massachusetts Institute of Technology, Cambridge, MA."--.

MAILING ADDRESS OF SENDER: Patent Administrator PATENT NO.: 5,953,716
Testa Hurwitz & Thibeault, LLP
High Street Tower
125 High Street
Boston, MA 02110
(617) 248-7000